

Form IV D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Licence Details (No & Date): 58/Trading Licence/2012/CERC, 21st June, 2012

Month: November, 2017

Sr.No	Period of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Sale Price (₹/kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Interstate Trading Transactions									
1	01/11/2017	30/11/2017	1.307200	IEX	-	-	OAC	IOAC	Karnataka	3.98
2	01/11/2017	30/11/2017	0.173040	IEX	-	-	OAC	IOAC	Rajasthan	3.60
3	01/11/2017	30/11/2017	0.199350	IEX	-	-	OAC	IOAC	Karnataka	4.74
4	01/11/2017	30/11/2017	0.105000	Atria Solar Power	RE	Karnataka	OAC	IOAC	Karnataka	4.74
5	01/11/2017	30/11/2017	0.719513	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
6	01/11/2017	30/11/2017	0.241548	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
7	01/11/2017	30/11/2017	0.886003	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
8	01/11/2017	30/11/2017	0.295225	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
9	01/11/2017	30/11/2017	0.671210	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
10	01/11/2017	30/11/2017	0.223655	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
11	01/11/2017	30/11/2017	0.290875	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.55
12	01/11/2017	30/11/2017	0.091125	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.60
13	01/11/2017	30/11/2017	0.218860	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.20
14	01/11/2017	30/11/2017	0.078105	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.25
15	01/11/2017	30/11/2017	0.456423	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
16	01/11/2017	30/11/2017	0.152085	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
17	01/11/2017	30/11/2017	0.189600	IEX	-	-	OAC	IOAC	Gujarat	3.13
18	01/11/2017	30/11/2017	0.637000	IEX	-	-	OAC	IOAC	Karnataka	3.99
19	01/11/2017	30/11/2017	1.298433	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.51
20	01/11/2017	30/11/2017	0.029100	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.76
21	01/11/2017	30/11/2017	1.067698	IEX	-	-	OAC	IOAC	Himachal Pradesh	4.23
22	01/11/2017	30/11/2017	0.580875	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.51
23	01/11/2017	30/11/2017	0.012900	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.76
24	01/11/2017	30/11/2017	0.472005	IEX	-	-	OAC	IOAC	Himachal Pradesh	4.23

B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note: OAC Stands for Open Access Consumer

IOAC Stands for Industrial Open Access Consumer

Form IV E

Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: November, 2017

Sr. No.	Total Transacted Volume (MUs)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: November, 2017

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01/11/2017	0.6400	ADHPL	Himachal Pradesh	IEX	-
2	02/11/2017	0.6400	ADHPL	Himachal Pradesh	IEX	-
3	03/11/2017	0.6400	ADHPL	Himachal Pradesh	IEX	-
4	04/11/2017	0.6400	ADHPL	Himachal Pradesh	IEX	-
5	05/11/2017	0.6400	ADHPL	Himachal Pradesh	IEX	-
6	06/11/2017	0.6430	ADHPL	Himachal Pradesh	IEX	-
7	07/11/2017	0.6430	ADHPL	Himachal Pradesh	IEX	-
8	08/11/2017	0.6430	ADHPL	Himachal Pradesh	IEX	-
9	09/11/2017	0.6430	ADHPL	Himachal Pradesh	IEX	-
10	10/11/2017	0.6140	ADHPL	Himachal Pradesh	IEX	-
11	11/11/2017	0.5810	ADHPL	Himachal Pradesh	IEX	-
12	12/11/2017	0.5300	ADHPL	Himachal Pradesh	IEX	-
13	13/11/2017	0.5300	ADHPL	Himachal Pradesh	IEX	-
14	14/11/2017	0.5300	ADHPL	Himachal Pradesh	IEX	-
15	15/11/2017	0.5300	ADHPL	Himachal Pradesh	IEX	-
16	16/11/2017	0.5300	ADHPL	Himachal Pradesh	IEX	-
17	17/11/2017	0.5300	ADHPL	Himachal Pradesh	IEX	-
18	18/11/2017	0.5080	ADHPL	Himachal Pradesh	IEX	-
19	19/11/2017	0.4960	ADHPL	Himachal Pradesh	IEX	-
20	20/11/2017	0.4500	ADHPL	Himachal Pradesh	IEX	-
21	21/11/2017	0.4630	ADHPL	Himachal Pradesh	IEX	-
22	22/11/2017	0.5120	ADHPL	Himachal Pradesh	IEX	-
23	23/11/2017	0.5330	ADHPL	Himachal Pradesh	IEX	-
24	24/11/2017	0.5120	ADHPL	Himachal Pradesh	IEX	-
25	25/11/2017	0.5120	ADHPL	Himachal Pradesh	IEX	-
26	26/11/2017	0.5120	ADHPL	Himachal Pradesh	IEX	-
27	27/11/2017	0.5140	ADHPL	Himachal Pradesh	IEX	-
28	28/11/2017	0.5140	ADHPL	Himachal Pradesh	IEX	-
28	29/11/2017	0.5140	ADHPL	Himachal Pradesh	IEX	-
28	30/11/2017	0.4730	ADHPL	Himachal Pradesh	IEX	-
29	01/11/2017	0.3070	MPCL	Himachal Pradesh	IEX	-
30	02/11/2017	0.3280	MPCL	Himachal Pradesh	IEX	-
31	03/11/2017	0.3340	MPCL	Himachal Pradesh	IEX	-
32	04/11/2017	0.3320	MPCL	Himachal Pradesh	IEX	-
33	05/11/2017	0.3440	MPCL	Himachal Pradesh	IEX	-
34	06/11/2017	0.4130	MPCL	Himachal Pradesh	IEX	-
35	07/11/2017	0.3880	MPCL	Himachal Pradesh	IEX	-
36	08/11/2017	0.3850	MPCL	Himachal Pradesh	IEX	-
37	09/11/2017	0.3750	MPCL	Himachal Pradesh	IEX	-
38	10/11/2017	0.3330	MPCL	Himachal Pradesh	IEX	-
39	11/11/2017	0.2950	MPCL	Himachal Pradesh	IEX	-
40	12/11/2017	0.3120	MPCL	Himachal Pradesh	IEX	-
41	13/11/2017	0.2980	MPCL	Himachal Pradesh	IEX	-
42	14/11/2017	0.2800	MPCL	Himachal Pradesh	IEX	-
43	15/11/2017	0.2620	MPCL	Himachal Pradesh	IEX	-
44	16/11/2017	0.2630	MPCL	Himachal Pradesh	IEX	-
45	17/11/2017	0.2170	MPCL	Himachal Pradesh	IEX	-
46	18/11/2017	0.2560	MPCL	Himachal Pradesh	IEX	-
47	19/11/2017	0.2280	MPCL	Himachal Pradesh	IEX	-
48	20/11/2017	0.2580	MPCL	Himachal Pradesh	IEX	-
49	21/11/2017	0.2580	MPCL	Himachal Pradesh	IEX	-
50	22/11/2017	0.2360	MPCL	Himachal Pradesh	IEX	-
51	23/11/2017	0.2320	MPCL	Himachal Pradesh	IEX	-
52	24/11/2017	0.2160	MPCL	Himachal Pradesh	IEX	-
53	25/11/2017	0.2380	MPCL	Himachal Pradesh	IEX	-
54	26/11/2017	0.2020	MPCL	Himachal Pradesh	IEX	-

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
55	27/11/2017	0.2350	MPCL	Himachal Pradesh	IEX	-
56	28/11/2017	0.2340	MPCL	Himachal Pradesh	IEX	-
57	29/11/2017	0.2120	MPCL	Himachal Pradesh	IEX	-
58	30/11/2017	0.2120	MPCL	Himachal Pradesh	IEX	-
59	01/11/2017	0.0600	IEX	-	GE	Karnataka
60	02/11/2017	0.0807	IEX	-	GE	Karnataka
61	03/11/2017	0.0818	IEX	-	GE	Karnataka
62	04/11/2017	0.0616	IEX	-	GE	Karnataka
63	05/11/2017	0.0600	IEX	-	GE	Karnataka
64	06/11/2017	0.0844	IEX	-	GE	Karnataka
65	07/11/2017	0.0814	IEX	-	GE	Karnataka
66	08/11/2017	0.0759	IEX	-	GE	Karnataka
67	09/11/2017	0.0760	IEX	-	GE	Karnataka
68	10/11/2017	0.0829	IEX	-	GE	Karnataka
69	11/11/2017	0.0605	IEX	-	GE	Karnataka
70	12/11/2017	0.0600	IEX	-	GE	Karnataka
71	13/11/2017	0.0778	IEX	-	GE	Karnataka
72	14/11/2017	0.0762	IEX	-	GE	Karnataka
73	15/11/2017	0.0772	IEX	-	GE	Karnataka
74	16/11/2017	0.0792	IEX	-	GE	Karnataka
75	17/11/2017	0.0812	IEX	-	GE	Karnataka
76	18/11/2017	0.0625	IEX	-	GE	Karnataka
77	19/11/2017	0.0600	IEX	-	GE	Karnataka
78	20/11/2017	0.0778	IEX	-	GE	Karnataka
79	21/11/2017	0.0817	IEX	-	GE	Karnataka
80	22/11/2017	0.0817	IEX	-	GE	Karnataka
81	23/11/2017	0.0787	IEX	-	GE	Karnataka
82	24/11/2017	0.0817	IEX	-	GE	Karnataka
83	25/11/2017	0.0639	IEX	-	GE	Karnataka
84	26/11/2017	0.0600	IEX	-	GE	Karnataka
85	27/11/2017	0.0807	IEX	-	GE	Karnataka
86	28/11/2017	0.0787	IEX	-	GE	Karnataka
87	29/11/2017	0.0797	IEX	-	GE	Karnataka
88	30/11/2017	0.0768	IEX	-	GE	Karnataka
89	01/11/2017	0.0000	IEX	-	MTL	Karnataka
90	02/11/2017	0.0286	IEX	-	MTL	Karnataka
91	03/11/2017	0.0468	IEX	-	MTL	Karnataka
92	04/11/2017	0.0338	IEX	-	MTL	Karnataka
93	05/11/2017	0.0494	IEX	-	MTL	Karnataka
94	06/11/2017	0.0234	IEX	-	MTL	Karnataka
95	07/11/2017	0.0182	IEX	-	MTL	Karnataka
96	08/11/2017	0.0130	IEX	-	MTL	Karnataka
97	09/11/2017	0.0156	IEX	-	MTL	Karnataka
98	10/11/2017	0.0135	IEX	-	MTL	Karnataka
99	11/11/2017	0.0135	IEX	-	MTL	Karnataka
100	12/11/2017	0.0234	IEX	-	MTL	Karnataka
101	13/11/2017	0.0130	IEX	-	MTL	Karnataka
102	14/11/2017	0.0168	IEX	-	MTL	Karnataka
103	15/11/2017	0.0252	IEX	-	MTL	Karnataka
104	16/11/2017	0.0336	IEX	-	MTL	Karnataka
105	17/11/2017	0.0169	IEX	-	MTL	Karnataka
106	18/11/2017	0.0143	IEX	-	MTL	Karnataka
107	19/11/2017	0.0195	IEX	-	MTL	Karnataka
108	20/11/2017	0.0120	IEX	-	MTL	Karnataka
109	21/11/2017	0.0420	IEX	-	MTL	Karnataka
110	22/11/2017	0.0285	IEX	-	MTL	Karnataka
111	23/11/2017	0.0377	IEX	-	MTL	Karnataka
112	24/11/2017	0.0300	IEX	-	MTL	Karnataka
113	25/11/2017	0.0480	IEX	-	MTL	Karnataka
114	26/11/2017	0.0690	IEX	-	MTL	Karnataka

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
115	27/11/2017	0.0600	IEX	-	MTL	Karnataka
116	28/11/2017	0.0290	IEX	-	MTL	Karnataka
117	29/11/2017	0.0168	IEX	-	MTL	Karnataka
118	30/11/2017	0.0091	IEX	-	MTL	Karnataka
119	01/11/2017	0.0480	IEX	-	GE BE	Karnataka
120	02/11/2017	0.0408	IEX	-	GE BE	Karnataka
121	03/11/2017	0.0408	IEX	-	GE BE	Karnataka
122	04/11/2017	0.0120	IEX	-	GE BE	Karnataka
123	05/11/2017	0.0120	IEX	-	GE BE	Karnataka
124	06/11/2017	0.0299	IEX	-	GE BE	Karnataka
125	07/11/2017	0.0357	IEX	-	GE BE	Karnataka
126	08/11/2017	0.0315	IEX	-	GE BE	Karnataka
127	09/11/2017	0.0264	IEX	-	GE BE	Karnataka
128	10/11/2017	0.0336	IEX	-	GE BE	Karnataka
129	11/11/2017	0.0336	IEX	-	GE BE	Karnataka
130	12/11/2017	0.0120	IEX	-	GE BE	Karnataka
131	13/11/2017	0.0237	IEX	-	GE BE	Karnataka
132	14/11/2017	0.0323	IEX	-	GE BE	Karnataka
133	15/11/2017	0.0344	IEX	-	GE BE	Karnataka
134	16/11/2017	0.0370	IEX	-	GE BE	Karnataka
135	17/11/2017	0.0391	IEX	-	GE BE	Karnataka
136	18/11/2017	0.0308	IEX	-	GE BE	Karnataka
137	19/11/2017	0.0120	IEX	-	GE BE	Karnataka
138	20/11/2017	0.0240	IEX	-	GE BE	Karnataka
139	21/11/2017	0.0404	IEX	-	GE BE	Karnataka
140	22/11/2017	0.0395	IEX	-	GE BE	Karnataka
141	23/11/2017	0.0374	IEX	-	GE BE	Karnataka
142	24/11/2017	0.0393	IEX	-	GE BE	Karnataka
143	25/11/2017	0.0276	IEX	-	GE BE	Karnataka
144	26/11/2017	0.0120	IEX	-	GE BE	Karnataka
145	27/11/2017	0.0308	IEX	-	GE BE	Karnataka
146	28/11/2017	0.0387	IEX	-	GE BE	Karnataka
147	29/11/2017	0.0312	IEX	-	GE BE	Karnataka
148	30/11/2017	0.0312	IEX	-	GE BE	Karnataka
149	01/11/2017	0.0120	IEX	-	SEL	Rajasthan
150	02/11/2017	0.0192	IEX	-	SEL	Rajasthan
151	05/11/2017	0.0264	IEX	-	SEL	Rajasthan
152	19/11/2017	0.0312	IEX	-	SEL	Rajasthan
153	26/11/2017	0.0312	IEX	-	SEL	Rajasthan
154	27/11/2017	0.0310	IEX	-	SEL	Rajasthan

S.No.	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹3/kWh	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)	Margin charged when MCP is lesser than or equal to ₹3/Kwh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)
1	MPCL	≤ 0.04	≤ 0.04	-	-
2	ADHPL	≤ 0.04	≤ 0.04	-	-
3	MTL	≤ 0.04	≤ 0.04	-	-
4	GE BE	≤ 0.04	≤ 0.04	-	-
5	GE	≤ 0.04	≤ 0.04	-	-
6	SEL	≤ 0.04	≤ 0.04	-	-

Note : Seller Clients (except IEX) are Generators
Buyer Clients (except IEX) are OA consumers.

Form IV H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: November, 2017

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (₹/REC)	Trading Margin (₹/ kWh)
Non Solar RECs						
1	GE_India_Technology_Centre_Pvt_Limited_Bangalore	Obligated Entity	IEX	124	1,500	0.01
Solar RECs						

Note: 1 REC = 1 MWh.