

Form IV D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Licence Details (No & Date): 58/Trading Licence/2012/CERC, 21st June, 2012

Month: October, 2017

Sr.No	Period of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Sale Price (₹/kWh)
	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Interstate Trading Transactions									
1	01/10/2017	31/10/2017	0.920400	IEX	-	-	OAC	IOAC	Karnataka	3.98
2	01/10/2017	31/10/2017	0.007075	IEX	-	-	OAC	IOAC	Karnataka	2.57
3	01/10/2017	31/10/2017	0.113375	IEX	-	-	OAC	IOAC	Karnataka	3.82
4	01/10/2017	31/10/2017	0.025650	IEX	-	-	OAC	IOAC	Karnataka	4.82
5	01/10/2017	31/10/2017	0.079200	IEX	-	-	OAC	IOAC	Rajasthan	3.60
6	01/10/2017	31/10/2017	0.105000	Atria Solar Power	RE Generator	Karnataka	OAC	IOAC	Karnataka	5.90
7	01/10/2017	31/10/2017	0.100000	SBSS	RE Generator	Karnataka	OAC	IOAC	Karnataka	5.90
8	01/10/2017	31/10/2017	0.250000	AMR Power	RE Generator	Karnataka	OAC	IOAC	Karnataka	5.15
9	01/10/2017	31/10/2017	0.450000	AMR Power	RE Generator	Karnataka	OAC	IOAC	Karnataka	5.15
10	01/10/2017	31/10/2017	0.512243	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
11	01/10/2017	31/10/2017	0.136655	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
12	01/10/2017	31/10/2017	0.619528	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
13	01/10/2017	31/10/2017	0.165498	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
14	01/10/2017	31/10/2017	0.469343	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
15	01/10/2017	31/10/2017	0.125375	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
16	01/10/2017	31/10/2017	0.227025	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.55
17	01/10/2017	31/10/2017	0.048375	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.60
18	01/10/2017	31/10/2017	0.107000	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.20
19	01/10/2017	31/10/2017	0.026750	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.25
20	01/10/2017	31/10/2017	0.319158	IEX	-	-	OAC	IOAC	Andhra Pradesh	3.42
21	01/10/2017	31/10/2017	0.085255	IEX	-	-	OAC	IOAC	Andhra Pradesh	4.47
22	01/10/2017	31/10/2017	0.464548	IEX	-	-	OAC	IOAC	Karnataka	3.99
23	01/10/2017	31/10/2017	0.083703	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.51
24	01/10/2017	31/10/2017	0.341515	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.76
25	01/10/2017	31/10/2017	0.363315	IEX	-	-	OAC	IOAC	Himachal Pradesh	4.23
26	01/10/2017	31/10/2017	0.028508	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.51
27	01/10/2017	31/10/2017	0.152640	IEX	-	-	OAC	IOAC	Himachal Pradesh	2.76
28	01/10/2017	31/10/2017	0.155495	IEX	-	-	OAC	IOAC	Himachal Pradesh	4.23
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note: OAC Stands for Open Access Consumer

IOAC Stands for Industrial Open Access Consumer

Form IV E

Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2017

Sr. No.	Total Transacted Volume (MUs)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2017

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01/10/2017	1.3760	ADHPL	Himachal Pradesh	IEX	-
2	02/10/2017	1.3820	ADHPL	Himachal Pradesh	IEX	-
3	03/10/2017	1.3700	ADHPL	Himachal Pradesh	IEX	-
4	04/10/2017	1.1850	ADHPL	Himachal Pradesh	IEX	-
5	05/10/2017	1.1960	ADHPL	Himachal Pradesh	IEX	-
6	06/10/2017	1.2250	ADHPL	Himachal Pradesh	IEX	-
7	07/10/2017	1.2170	ADHPL	Himachal Pradesh	IEX	-
8	08/10/2017	1.0770	ADHPL	Himachal Pradesh	IEX	-
9	09/10/2017	1.2290	ADHPL	Himachal Pradesh	IEX	-
10	10/10/2017	1.0640	ADHPL	Himachal Pradesh	IEX	-
11	11/10/2017	0.9700	ADHPL	Himachal Pradesh	IEX	-
12	12/10/2017	0.9700	ADHPL	Himachal Pradesh	IEX	-
13	13/10/2017	0.9700	ADHPL	Himachal Pradesh	IEX	-
14	14/10/2017	0.9560	ADHPL	Himachal Pradesh	IEX	-
15	15/10/2017	0.9020	ADHPL	Himachal Pradesh	IEX	-
16	16/10/2017	1.0200	ADHPL	Himachal Pradesh	IEX	-
17	17/10/2017	0.8200	ADHPL	Himachal Pradesh	IEX	-
18	18/10/2017	0.8400	ADHPL	Himachal Pradesh	IEX	-
19	19/10/2017	0.8500	ADHPL	Himachal Pradesh	IEX	-
20	20/10/2017	0.8500	ADHPL	Himachal Pradesh	IEX	-
21	21/10/2017	0.8710	ADHPL	Himachal Pradesh	IEX	-
22	22/10/2017	0.8710	ADHPL	Himachal Pradesh	IEX	-
23	23/10/2017	0.8300	ADHPL	Himachal Pradesh	IEX	-
24	24/10/2017	0.7880	ADHPL	Himachal Pradesh	IEX	-
25	25/10/2017	0.7680	ADHPL	Himachal Pradesh	IEX	-
26	26/10/2017	0.7260	ADHPL	Himachal Pradesh	IEX	-
27	27/10/2017	0.7490	ADHPL	Himachal Pradesh	IEX	-
28	28/10/2017	0.7260	ADHPL	Himachal Pradesh	IEX	-
29	29/10/2017	0.6750	ADHPL	Himachal Pradesh	IEX	-
30	30/10/2017	0.6600	ADHPL	Himachal Pradesh	IEX	-
31	31/10/2017	0.6600	ADHPL	Himachal Pradesh	IEX	-
32	01/10/2017	0.7090	MPCL	Himachal Pradesh	IEX	-
33	02/10/2017	0.7090	MPCL	Himachal Pradesh	IEX	-
34	03/10/2017	0.7580	MPCL	Himachal Pradesh	IEX	-
35	04/10/2017	0.6990	MPCL	Himachal Pradesh	IEX	-
36	05/10/2017	0.6710	MPCL	Himachal Pradesh	IEX	-
37	06/10/2017	0.7480	MPCL	Himachal Pradesh	IEX	-
38	07/10/2017	0.7140	MPCL	Himachal Pradesh	IEX	-
39	08/10/2017	0.6340	MPCL	Himachal Pradesh	IEX	-
40	09/10/2017	0.7130	MPCL	Himachal Pradesh	IEX	-
41	10/10/2017	0.6080	MPCL	Himachal Pradesh	IEX	-
42	11/10/2017	0.6250	MPCL	Himachal Pradesh	IEX	-
43	12/10/2017	0.5660	MPCL	Himachal Pradesh	IEX	-
44	13/10/2017	0.5380	MPCL	Himachal Pradesh	IEX	-
45	14/10/2017	0.4470	MPCL	Himachal Pradesh	IEX	-
46	15/10/2017	0.4590	MPCL	Himachal Pradesh	IEX	-
47	16/10/2017	0.4320	MPCL	Himachal Pradesh	IEX	-
48	17/10/2017	0.4220	MPCL	Himachal Pradesh	IEX	-
49	18/10/2017	0.3600	MPCL	Himachal Pradesh	IEX	-
50	19/10/2017	0.4100	MPCL	Himachal Pradesh	IEX	-
51	20/10/2017	0.4670	MPCL	Himachal Pradesh	IEX	-
52	21/10/2017	0.5080	MPCL	Himachal Pradesh	IEX	-
53	22/10/2017	0.4730	MPCL	Himachal Pradesh	IEX	-
54	23/10/2017	0.3588	MPCL	Himachal Pradesh	IEX	-
55	24/10/2017	0.3870	MPCL	Himachal Pradesh	IEX	-
56	25/10/2017	0.3670	MPCL	Himachal Pradesh	IEX	-
57	26/10/2017	0.3080	MPCL	Himachal Pradesh	IEX	-
58	27/10/2017	0.3800	MPCL	Himachal Pradesh	IEX	-
59	28/10/2017	0.3660	MPCL	Himachal Pradesh	IEX	-
60	29/10/2017	0.3660	MPCL	Himachal Pradesh	IEX	-
61	30/10/2017	0.3270	MPCL	Himachal Pradesh	IEX	-
62	31/10/2017	0.3060	MPCL	Himachal Pradesh	IEX	-
63	01/10/2017	0.0000	IEX	-	GE	Karnataka
64	02/10/2017	0.0000	IEX	-	GE	Karnataka
65	03/10/2017	0.0000	IEX	-	GE	Karnataka
66	04/10/2017	0.0000	IEX	-	GE	Karnataka
67	05/10/2017	0.0844	IEX	-	GE	Karnataka
68	06/10/2017	0.0812	IEX	-	GE	Karnataka
69	07/10/2017	0.0681	IEX	-	GE	Karnataka
70	08/10/2017	0.0680	IEX	-	GE	Karnataka
71	09/10/2017	0.0852	IEX	-	GE	Karnataka

72	10/10/2017	0.0852	IEX	-	GE	Karnataka
73	11/10/2017	0.0852	IEX	-	GE	Karnataka
74	12/10/2017	0.0846	IEX	-	GE	Karnataka
75	13/10/2017	0.0768	IEX	-	GE	Karnataka
76	14/10/2017	0.0707	IEX	-	GE	Karnataka
77	15/10/2017	0.0683	IEX	-	GE	Karnataka
78	16/10/2017	0.0787	IEX	-	GE	Karnataka
79	17/10/2017	0.0692	IEX	-	GE	Karnataka
80	18/10/2017	0.0649	IEX	-	GE	Karnataka
81	19/10/2017	0.0833	IEX	-	GE	Karnataka
82	20/10/2017	0.0648	IEX	-	GE	Karnataka
83	21/10/2017	0.0659	IEX	-	GE	Karnataka
84	22/10/2017	0.0659	IEX	-	GE	Karnataka
85	23/10/2017	0.0846	IEX	-	GE	Karnataka
86	24/10/2017	0.0823	IEX	-	GE	Karnataka
87	25/10/2017	0.0771	IEX	-	GE	Karnataka
88	26/10/2017	0.0645	IEX	-	GE	Karnataka
89	27/10/2017	0.0662	IEX	-	GE	Karnataka
90	28/10/2017	0.0641	IEX	-	GE	Karnataka
91	29/10/2017	0.0638	IEX	-	GE	Karnataka
92	30/10/2017	0.0798	IEX	-	GE	Karnataka
93	31/10/2017	0.0852	IEX	-	GE	Karnataka
94	01/10/2017	0.0273	IEX	-	MTL	Karnataka
95	02/10/2017	0.0357	IEX	-	MTL	Karnataka
96	03/10/2017	0.0264	IEX	-	MTL	Karnataka
97	04/10/2017	0.0108	IEX	-	MTL	Karnataka
98	05/10/2017	0.0108	IEX	-	MTL	Karnataka
99	06/10/2017	0.0081	IEX	-	MTL	Karnataka
100	07/10/2017	0.0081	IEX	-	MTL	Karnataka
101	08/10/2017	0.0216	IEX	-	MTL	Karnataka
102	09/10/2017	0.0081	IEX	-	MTL	Karnataka
103	10/10/2017	0.0135	IEX	-	MTL	Karnataka
104	11/10/2017	0.0189	IEX	-	MTL	Karnataka
105	12/10/2017	0.0108	IEX	-	MTL	Karnataka
106	13/10/2017	0.0054	IEX	-	MTL	Karnataka
107	14/10/2017	0.0052	IEX	-	MTL	Karnataka
108	15/10/2017	0.0234	IEX	-	MTL	Karnataka
109	16/10/2017	0.0052	IEX	-	MTL	Karnataka
110	17/10/2017	0.0078	IEX	-	MTL	Karnataka
111	18/10/2017	0.0243	IEX	-	MTL	Karnataka
112	19/10/2017	0.0000	IEX	-	MTL	Karnataka
113	20/10/2017	0.0352	IEX	-	MTL	Karnataka
114	21/10/2017	0.0156	IEX	-	MTL	Karnataka
115	22/10/2017	0.0000	IEX	-	MTL	Karnataka
116	23/10/2017	0.0000	IEX	-	MTL	Karnataka
117	24/10/2017	0.0000	IEX	-	MTL	Karnataka
118	25/10/2017	0.0000	IEX	-	MTL	Karnataka
119	26/10/2017	0.0000	IEX	-	MTL	Karnataka
120	27/10/2017	0.0000	IEX	-	MTL	Karnataka
121	28/10/2017	0.0000	IEX	-	MTL	Karnataka
122	29/10/2017	0.0000	IEX	-	MTL	Karnataka
123	30/10/2017	0.0000	IEX	-	MTL	Karnataka
124	31/10/2017	0.0000	IEX	-	MTL	Karnataka
125	01/10/2017	0.0000	IEX	-	GE BE	Karnataka
126	02/10/2017	0.0000	IEX	-	GE BE	Karnataka
127	03/10/2017	0.0000	IEX	-	GE BE	Karnataka
128	04/10/2017	0.0447	IEX	-	GE BE	Karnataka
129	05/10/2017	0.0460	IEX	-	GE BE	Karnataka
130	06/10/2017	0.0445	IEX	-	GE BE	Karnataka
131	07/10/2017	0.0000	IEX	-	GE BE	Karnataka
132	08/10/2017	0.0000	IEX	-	GE BE	Karnataka
133	09/10/2017	0.0000	IEX	-	GE BE	Karnataka
134	10/10/2017	0.0000	IEX	-	GE BE	Karnataka
135	11/10/2017	0.0000	IEX	-	GE BE	Karnataka
136	12/10/2017	0.0000	IEX	-	GE BE	Karnataka
137	13/10/2017	0.0000	IEX	-	GE BE	Karnataka
138	14/10/2017	0.0000	IEX	-	GE BE	Karnataka
139	15/10/2017	0.0216	IEX	-	GE BE	Karnataka
140	16/10/2017	0.0349	IEX	-	GE BE	Karnataka
141	17/10/2017	0.0380	IEX	-	GE BE	Karnataka
142	18/10/2017	0.0073	IEX	-	GE BE	Karnataka
143	19/10/2017	0.0000	IEX	-	GE BE	Karnataka
144	20/10/2017	0.0000	IEX	-	GE BE	Karnataka
145	21/10/2017	0.0226	IEX	-	GE BE	Karnataka
146	22/10/2017	0.0192	IEX	-	GE BE	Karnataka
147	23/10/2017	0.0384	IEX	-	GE BE	Karnataka
148	24/10/2017	0.0480	IEX	-	GE BE	Karnataka
149	25/10/2017	0.0480	IEX	-	GE BE	Karnataka
150	26/10/2017	0.0325	IEX	-	GE BE	Karnataka

151	27/10/2017	0.0155	IEX	-	GE BE	Karnataka
152	28/10/2017	0.0410	IEX	-	GE BE	Karnataka
153	29/10/2017	0.0214	IEX	-	GE BE	Karnataka
154	30/10/2017	0.0359	IEX	-	GE BE	Karnataka
155	31/10/2017	0.0480	IEX	-	GE BE	Karnataka
156	01/10/2017	0.0000	IEX	-	MS	Karnataka
157	02/10/2017	0.0000	IEX	-	MS	Karnataka
158	03/10/2017	0.0000	IEX	-	MS	Karnataka
159	04/10/2017	0.0000	IEX	-	MS	Karnataka
160	05/10/2017	0.0844	IEX	-	MS	Karnataka
161	06/10/2017	0.0812	IEX	-	MS	Karnataka
162	07/10/2017	0.0681	IEX	-	MS	Karnataka
163	08/10/2017	0.0680	IEX	-	MS	Karnataka
164	09/10/2017	0.0852	IEX	-	MS	Karnataka
165	10/10/2017	0.0852	IEX	-	MS	Karnataka
166	11/10/2017	0.0852	IEX	-	MS	Karnataka
167	12/10/2017	0.0846	IEX	-	MS	Karnataka
168	13/10/2017	0.0768	IEX	-	MS	Karnataka
169	14/10/2017	0.0707	IEX	-	MS	Karnataka
170	15/10/2017	0.0683	IEX	-	MS	Karnataka
171	16/10/2017	0.0787	IEX	-	MS	Karnataka
172	17/10/2017	0.0692	IEX	-	MS	Karnataka
173	18/10/2017	0.0649	IEX	-	MS	Karnataka
174	19/10/2017	0.0833	IEX	-	MS	Karnataka
175	20/10/2017	0.0648	IEX	-	MS	Karnataka
176	21/10/2017	0.0659	IEX	-	MS	Karnataka
177	22/10/2017	0.0659	IEX	-	MS	Karnataka
178	23/10/2017	0.0846	IEX	-	MS	Karnataka
179	24/10/2017	0.0823	IEX	-	MS	Karnataka
180	25/10/2017	0.0771	IEX	-	MS	Karnataka
181	26/10/2017	0.0645	IEX	-	MS	Karnataka
182	27/10/2017	0.0662	IEX	-	MS	Karnataka
183	28/10/2017	0.0641	IEX	-	MS	Karnataka
184	29/10/2017	0.0638	IEX	-	MS	Karnataka
185	30/10/2017	0.0798	IEX	-	MS	Karnataka
186	31/10/2017	0.0852	IEX	-	MS	Karnataka

S.No.	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)	Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)
1	MPCL	≤ 0.04	≤ 0.04	-	-
2	ADHPL	≤ 0.04	≤ 0.04	-	-
3	MTL	≤ 0.04	≤ 0.04	-	-
4	GE BE	≤ 0.04	≤ 0.04	-	-
5	GE	≤ 0.04	≤ 0.04	-	-
6	MS	≤ 0.04	≤ 0.04	-	-
7	SEL	≤ 0.04	≤ 0.04	-	-

Note : Seller Clients (except IEX) are Generators
Buyer Clients (except IEX) are OA consumers.

Form IV H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2017

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (₹/REC)	Trading Margin (₹/kWh)
Non Solar RECs						
1	SEL Textiles Limited, Rajasthan	Obligated Entity	IEX	17	1,500	0
2	GE_India_Technology_Centre_Pvt_Limited_Bangalore	Obligated Entity	IEX	79	1,500	0.01
Solar RECs						

Note: 1 REC = 1 MWh.