

Form IV D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Licence Details (No & Date): 58/Trading Licence/2012/CERC, 21st June, 2012

Month: November, 2016

Sr.No	Period of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Sale Price (₹/kWh)
	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Interstate Trading Transactions									
1	01/11/2016	30/11/2016	2.729475	IEX	-	-	OAC	IOAC	Karnataka	4.37
2	01/11/2016	30/11/2016	1.429800	IEX	-	-	OAC	IOAC	Karnataka	3.84
3	01/11/2016	30/11/2016	0.703190	IEX	-	-	OAC	IOAC	Karnataka	3.78
4	01/11/2016	30/11/2016	0.648940	IEX	-	-	OAC	IOAC	Karnataka	3.95
5	01/11/2016	30/11/2016	0.351508	IEX	-	-	OAC	IOAC	Karnataka	2.57
6	01/11/2016	30/11/2016	0.358600	IEX	-	-	OAC	IOAC	Karnataka	3.82
7	01/11/2016	30/11/2016	0.313180	IEX	-	-	OAC	IOAC	Karnataka	4.82
9	01/11/2016	30/11/2016	1.383200	IEX	-	-	OAC	IOAC	Rajasthan	3.60
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note: OAC Stands for Open Access Consumer

IOAC Stands for Industrial Open Access Consumer

Form IV E

Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: November, 2016

Sr. No.	Total Transacted Volume (MUs)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: November, 2016

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01/11/2016	0.633000	ADHPL	Himachal Pradesh	IEX	-
2	01/11/2016	0.350000	MPCL	Himachal Pradesh	IEX	-
3	02/11/2016	0.615000	ADHPL	Himachal Pradesh	IEX	-
4	02/11/2016	0.350000	MPCL	Himachal Pradesh	IEX	-
5	03/11/2016	0.615000	ADHPL	Himachal Pradesh	IEX	-
6	03/11/2016	0.297000	MPCL	Himachal Pradesh	IEX	-
7	04/11/2016	0.592000	ADHPL	Himachal Pradesh	IEX	-
8	04/11/2016	0.308000	MPCL	Himachal Pradesh	IEX	-
9	05/11/2016	0.234000	ADHPL	Himachal Pradesh	IEX	-
10	05/11/2016	0.315000	MPCL	Himachal Pradesh	IEX	-
11	06/11/2016	0.592000	ADHPL	Himachal Pradesh	IEX	-
12	06/11/2016	0.297000	MPCL	Himachal Pradesh	IEX	-
13	07/11/2016	0.589000	ADHPL	Himachal Pradesh	IEX	-
14	07/11/2016	0.287000	MPCL	Himachal Pradesh	IEX	-
15	08/11/2016	0.313000	ADHPL	Himachal Pradesh	IEX	-
16	08/11/2016	0.276000	MPCL	Himachal Pradesh	IEX	-
17	09/11/2016	0.305000	ADHPL	Himachal Pradesh	IEX	-
18	09/11/2016	0.261000	MPCL	Himachal Pradesh	IEX	-
19	10/11/2016	0.256000	ADHPL	Himachal Pradesh	IEX	-
20	10/11/2016	0.261000	MPCL	Himachal Pradesh	IEX	-
21	11/11/2016	0.274000	ADHPL	Himachal Pradesh	IEX	-
22	11/11/2016	0.305000	MPCL	Himachal Pradesh	IEX	-
23	12/11/2016	0.226000	ADHPL	Himachal Pradesh	IEX	-
24	12/11/2016	0.269000	MPCL	Himachal Pradesh	IEX	-
25	13/11/2016	0.560000	ADHPL	Himachal Pradesh	IEX	-
26	13/11/2016	0.294750	MPCL	Himachal Pradesh	IEX	-
27	14/11/2016	0.542000	ADHPL	Himachal Pradesh	IEX	-
28	14/11/2016	0.242000	MPCL	Himachal Pradesh	IEX	-
29	15/11/2016	0.255000	ADHPL	Himachal Pradesh	IEX	-
30	15/11/2016	0.242000	MPCL	Himachal Pradesh	IEX	-
31	16/11/2016	0.232000	ADHPL	Himachal Pradesh	IEX	-
32	16/11/2016	0.264000	MPCL	Himachal Pradesh	IEX	-
33	17/11/2016	0.533000	ADHPL	Himachal Pradesh	IEX	-
34	17/11/2016	0.242000	MPCL	Himachal Pradesh	IEX	-
35	18/11/2016	0.475000	ADHPL	Himachal Pradesh	IEX	-
36	18/11/2016	0.253000	MPCL	Himachal Pradesh	IEX	-
37	19/11/2016	0.500000	ADHPL	Himachal Pradesh	IEX	-
38	19/11/2016	0.253000	MPCL	Himachal Pradesh	IEX	-
39	20/11/2016	0.464000	ADHPL	Himachal Pradesh	IEX	-
40	20/11/2016	0.254000	MPCL	Himachal Pradesh	IEX	-
41	21/11/2016	0.464000	ADHPL	Himachal Pradesh	IEX	-
42	21/11/2016	0.254000	MPCL	Himachal Pradesh	IEX	-
43	22/11/2016	0.400000	ADHPL	Himachal Pradesh	IEX	-
44	22/11/2016	0.264000	MPCL	Himachal Pradesh	IEX	-
45	23/11/2016	0.450000	ADHPL	Himachal Pradesh	IEX	-
46	23/11/2016	0.253000	MPCL	Himachal Pradesh	IEX	-
47	24/11/2016	0.345000	ADHPL	Himachal Pradesh	IEX	-
48	24/11/2016	0.231000	MPCL	Himachal Pradesh	IEX	-
49	25/11/2016	0.583000	ADHPL	Himachal Pradesh	IEX	-
50	25/11/2016	0.209000	MPCL	Himachal Pradesh	IEX	-
51	26/11/2016	0.445000	ADHPL	Himachal Pradesh	IEX	-
52	26/11/2016	0.198000	MPCL	Himachal Pradesh	IEX	-
53	27/11/2016	0.445000	ADHPL	Himachal Pradesh	IEX	-
54	27/11/2016	0.211000	MPCL	Himachal Pradesh	IEX	-
55	28/11/2016	0.500000	ADHPL	Himachal Pradesh	IEX	-
56	28/11/2016	0.216000	MPCL	Himachal Pradesh	IEX	-
57	29/11/2016	0.500000	ADHPL	Himachal Pradesh	IEX	-
58	29/11/2016	0.216000	MPCL	Himachal Pradesh	IEX	-
59	30/11/2016	0.500000	ADHPL	Himachal Pradesh	IEX	-
60	30/11/2016	0.237000	MPCL	Himachal Pradesh	IEX	-
61	01/11/2016	0.058615	IEX	-	GE	Karnataka
62	02/11/2016	0.077450	IEX	-	GE	Karnataka
63	03/11/2016	0.083400	IEX	-	GE	Karnataka
64	04/11/2016	0.082750	IEX	-	GE	Karnataka
65	05/11/2016	0.063000	IEX	-	GE	Karnataka
66	06/11/2016	0.072000	IEX	-	GE	Karnataka
67	07/11/2016	0.086308	IEX	-	GE	Karnataka
68	08/11/2016	0.081213	IEX	-	GE	Karnataka
69	09/11/2016	0.081800	IEX	-	GE	Karnataka
70	10/11/2016	0.081800	IEX	-	GE	Karnataka
71	11/11/2016	0.087800	IEX	-	GE	Karnataka
72	12/11/2016	0.063900	IEX	-	GE	Karnataka
73	13/11/2016	0.060000	IEX	-	GE	Karnataka

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
74	14/11/2016	0.073600	IEX	-	GE	Karnataka
75	15/11/2016	0.081600	IEX	-	GE	Karnataka
76	16/11/2016	0.062115	IEX	-	GE	Karnataka
77	17/11/2016	0.050750	IEX	-	GE	Karnataka
78	18/11/2016	0.055950	IEX	-	GE	Karnataka
79	19/11/2016	0.064600	IEX	-	GE	Karnataka
80	20/11/2016	0.068250	IEX	-	GE	Karnataka
81	21/11/2016	0.063900	IEX	-	GE	Karnataka
82	22/11/2016	0.074500	IEX	-	GE	Karnataka
83	23/11/2016	0.079300	IEX	-	GE	Karnataka
84	24/11/2016	0.074900	IEX	-	GE	Karnataka
85	25/11/2016	0.074900	IEX	-	GE	Karnataka
86	26/11/2016	0.065350	IEX	-	GE	Karnataka
87	27/11/2016	0.072000	IEX	-	GE	Karnataka
88	28/11/2016	0.077800	IEX	-	GE	Karnataka
89	29/11/2016	0.078900	IEX	-	GE	Karnataka
90	30/11/2016	0.074900	IEX	-	GE	Karnataka
91	01/11/2016	0.027550	IEX	-	JPL	Karnataka
92	02/11/2016	0.020900	IEX	-	JPL	Karnataka
93	03/11/2016	0.021375	IEX	-	JPL	Karnataka
94	04/11/2016	0.017395	IEX	-	JPL	Karnataka
95	05/11/2016	0.007823	IEX	-	JPL	Karnataka
96	06/11/2016	0.020918	IEX	-	JPL	Karnataka
97	07/11/2016	0.011003	IEX	-	JPL	Karnataka
98	08/11/2016	0.010805	IEX	-	JPL	Karnataka
99	09/11/2016	0.013543	IEX	-	JPL	Karnataka
100	10/11/2016	0.012035	IEX	-	JPL	Karnataka
101	11/11/2016	0.008678	IEX	-	JPL	Karnataka
102	23/11/2016	0.011793	IEX	-	JPL	Karnataka
103	02/11/2016	0.030800	IEX	-	MTL	Karnataka
104	03/11/2016	0.046200	IEX	-	MTL	Karnataka
105	04/11/2016	0.041800	IEX	-	MTL	Karnataka
106	05/11/2016	0.024200	IEX	-	MTL	Karnataka
107	06/11/2016	0.050600	IEX	-	MTL	Karnataka
108	07/11/2016	0.039600	IEX	-	MTL	Karnataka
109	08/11/2016	0.048400	IEX	-	MTL	Karnataka
110	09/11/2016	0.048400	IEX	-	MTL	Karnataka
111	10/11/2016	0.048400	IEX	-	MTL	Karnataka
112	11/11/2016	0.048400	IEX	-	MTL	Karnataka
113	12/11/2016	0.050600	IEX	-	MTL	Karnataka
114	13/11/2016	0.052800	IEX	-	MTL	Karnataka
115	14/11/2016	0.037400	IEX	-	MTL	Karnataka
116	15/11/2016	0.033000	IEX	-	MTL	Karnataka
117	16/11/2016	0.037800	IEX	-	MTL	Karnataka
118	17/11/2016	0.035100	IEX	-	MTL	Karnataka
119	18/11/2016	0.035100	IEX	-	MTL	Karnataka
120	19/11/2016	0.035100	IEX	-	MTL	Karnataka
121	20/11/2016	0.062100	IEX	-	MTL	Karnataka
122	21/11/2016	0.035100	IEX	-	MTL	Karnataka
123	22/11/2016	0.045900	IEX	-	MTL	Karnataka
124	23/11/2016	0.032400	IEX	-	MTL	Karnataka
125	24/11/2016	0.029700	IEX	-	MTL	Karnataka
126	25/11/2016	0.032400	IEX	-	MTL	Karnataka
127	26/11/2016	0.032400	IEX	-	MTL	Karnataka
128	27/11/2016	0.064800	IEX	-	MTL	Karnataka
129	28/11/2016	0.051300	IEX	-	MTL	Karnataka
130	29/11/2016	0.048600	IEX	-	MTL	Karnataka
131	30/11/2016	0.054000	IEX	-	MTL	Karnataka

S.No.	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)	Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)
1	MPCL	0.01	0.01	-	-
2	ADHPL	0.01	0.01	-	-
3	MTL	0.009	0.009	-	-
4	Garg	0.010	0.010	-	-
5	JPL	0.010	0.010	-	-

Note : Seller Clients (except IEX) are Generators
Buyer Clients (except IEX) are OA consumers.

Form IV H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: November, 2016

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (₹/REC)	Trading Margin (₹/kWh)
Non Solar RECs						
1	NIL					
2						
3						
4						
Solar RECs						
1	NIL					
2						
3						
4						