

Form IV D

Long-term Inter-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Licence Details (No & Date): 58/Trading Licence/2012/CERC, 21st June, 2012

Month: October, 2016

Sr.No	Period of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Sale Price (₹/kWh)
	Start date (DD/MM/ YYYY)	End date (DD/MM/ YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Interstate Trading Transactions									
1	01/10/2016	31/10/2016	2.54980	IEX	-	-	OAC	IOAC	Karnataka	4.37
2	01/10/2016	31/10/2016	1.28960	IEX	-	-	OAC	IOAC	Karnataka	3.84
3	01/10/2016	31/10/2016	0.20276	IEX	-	-	OAC	IOAC	Karnataka	3.78
4	01/10/2016	31/10/2016	0.67370	IEX	-	-	OAC	IOAC	Karnataka	3.95
5	01/10/2016	31/10/2016	0.40135	IEX	-	-	OAC	IOAC	Karnataka	2.57
6	01/10/2016	31/10/2016	0.99383	IEX	-	-	OAC	IOAC	Karnataka	3.82
7	01/10/2016	31/10/2016	0.37490	IEX	-	-	OAC	IOAC	Karnataka	4.82
8	01/10/2016	31/10/2016	1.95680	IEX	-	-	OAC	IOAC	Rajasthan	3.60
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note: OAC Stands for Open Access Consumer

IOAC Stands for Industrial Open Access Consumer

Form IV E

Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2016

Sr. No.	Total Transacted Volume (MUs)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note: (1) Total Transacted Volume shall be aggregated for all transactions within each state.

Note: (2) Name of the parties and the price of these transactions are not required.

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2016

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01/10/2016	1.270	ADHPL	Himachal Pradesh	IEX	-
2	01/10/2016	0.862	MPCL	Himachal Pradesh	IEX	-
3	01/10/2016	0.044	IEX	-	MTL	Karnataka
4	01/10/2016	0.010	IEX	-	JPL	Karnataka
5	02/10/2016	1.300	ADHPL	Himachal Pradesh	IEX	-
6	02/10/2016	1.113	MPCL	Himachal Pradesh	IEX	-
7	02/10/2016	0.042	IEX	-	MTL	Karnataka
8	02/10/2016	0.039	IEX	-	JPL	Karnataka
9	03/10/2016	1.380	ADHPL	Himachal Pradesh	IEX	-
10	03/10/2016	0.944	MPCL	Himachal Pradesh	IEX	-
11	03/10/2016	0.053	IEX	-	MTL	Karnataka
12	03/10/2016	0.040	IEX	-	JPL	Karnataka
13	04/10/2016	1.165	ADHPL	Himachal Pradesh	IEX	-
14	04/10/2016	0.742	MPCL	Himachal Pradesh	IEX	-
15	04/10/2016	0.051	IEX	-	MTL	Karnataka
16	04/10/2016	0.040	IEX	-	JPL	Karnataka
17	05/10/2016	1.225	ADHPL	Himachal Pradesh	IEX	-
18	05/10/2016	0.862	MPCL	Himachal Pradesh	IEX	-
19	05/10/2016	0.059	IEX	-	GE	Karnataka
20	05/10/2016	0.053	IEX	-	MTL	Karnataka
21	05/10/2016	0.041	IEX	-	JPL	Karnataka
22	06/10/2016	1.123	ADHPL	Himachal Pradesh	IEX	-
23	06/10/2016	0.735	MPCL	Himachal Pradesh	IEX	-
24	06/10/2016	0.071	IEX	-	GE	Karnataka
25	06/10/2016	0.053	IEX	-	MTL	Karnataka
26	06/10/2016	0.041	IEX	-	JPL	Karnataka
27	07/10/2016	1.045	ADHPL	Himachal Pradesh	IEX	-
28	07/10/2016	0.587	MPCL	Himachal Pradesh	IEX	-
29	07/10/2016	0.071	IEX	-	GE	Karnataka
30	07/10/2016	0.053	IEX	-	MTL	Karnataka
31	07/10/2016	0.046	IEX	-	JPL	Karnataka
32	08/10/2016	0.915	ADHPL	Himachal Pradesh	IEX	-
33	08/10/2016	0.589	MPCL	Himachal Pradesh	IEX	-
34	08/10/2016	0.050	IEX	-	GE	Karnataka
35	08/10/2016	0.053	IEX	-	MTL	Karnataka
36	08/10/2016	0.046	IEX	-	JPL	Karnataka
37	09/10/2016	0.915	ADHPL	Himachal Pradesh	IEX	-
38	09/10/2016	0.657	MPCL	Himachal Pradesh	IEX	-
39	09/10/2016	0.050	IEX	-	GE	Karnataka
40	09/10/2016	0.053	IEX	-	MTL	Karnataka
41	09/10/2016	0.046	IEX	-	JPL	Karnataka
42	10/10/2016	1.000	ADHPL	Himachal Pradesh	IEX	-
43	10/10/2016	0.576	MPCL	Himachal Pradesh	IEX	-
44	10/10/2016	0.050	IEX	-	GE	Karnataka
45	10/10/2016	0.053	IEX	-	MTL	Karnataka
46	10/10/2016	0.046	IEX	-	JPL	Karnataka
47	11/10/2016	0.799	ADHPL	Himachal Pradesh	IEX	-
48	11/10/2016	0.462	MPCL	Himachal Pradesh	IEX	-
49	11/10/2016	0.050	IEX	-	GE	Karnataka
50	11/10/2016	0.011	IEX	-	MTL	Karnataka
51	11/10/2016	0.046	IEX	-	JPL	Karnataka
52	12/10/2016	0.816	ADHPL	Himachal Pradesh	IEX	-
53	12/10/2016	0.375	MPCL	Himachal Pradesh	IEX	-
54	12/10/2016	0.071	IEX	-	GE	Karnataka
55	12/10/2016	0.035	IEX	-	MTL	Karnataka
56	12/10/2016	0.046	IEX	-	JPL	Karnataka
57	13/10/2016	0.770	ADHPL	Himachal Pradesh	IEX	-
58	13/10/2016	0.406	MPCL	Himachal Pradesh	IEX	-
59	13/10/2016	0.076	IEX	-	GE	Karnataka
60	13/10/2016	0.053	IEX	-	MTL	Karnataka
61	13/10/2016	0.046	IEX	-	JPL	Karnataka
62	14/10/2016	0.791	ADHPL	Himachal Pradesh	IEX	-
63	14/10/2016	0.411	MPCL	Himachal Pradesh	IEX	-
64	14/10/2016	0.078	IEX	-	GE	Karnataka
65	14/10/2016	0.053	IEX	-	MTL	Karnataka
66	14/10/2016	0.038	IEX	-	JPL	Karnataka
67	15/10/2016	0.875	ADHPL	Himachal Pradesh	IEX	-
68	15/10/2016	0.442	MPCL	Himachal Pradesh	IEX	-
69	15/10/2016	0.078	IEX	-	GE	Karnataka
70	15/10/2016	0.051	IEX	-	MTL	Karnataka
71	15/10/2016	0.017	IEX	-	JPL	Karnataka
72	16/10/2016	0.812	ADHPL	Himachal Pradesh	IEX	-
73	16/10/2016	0.494	MPCL	Himachal Pradesh	IEX	-
74	16/10/2016	0.079	IEX	-	GE	Karnataka
75	16/10/2016	0.053	IEX	-	MTL	Karnataka
76	16/10/2016	0.046	IEX	-	JPL	Karnataka
77	17/10/2016	0.875	ADHPL	Himachal Pradesh	IEX	-
78	17/10/2016	0.497	MPCL	Himachal Pradesh	IEX	-
79	17/10/2016	0.072	IEX	-	GE	Karnataka
80	17/10/2016	0.051	IEX	-	MTL	Karnataka
81	17/10/2016	0.017	IEX	-	JPL	Karnataka
82	18/10/2016	0.021	ADHPL	Himachal Pradesh	IEX	-

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
83	18/10/2016	0.499	MPCL	Himachal Pradesh	IEX	-
84	18/10/2016	0.064	IEX	-	GE	Karnataka
85	18/10/2016	0.048	IEX	-	MTL	Karnataka
86	18/10/2016	0.014	IEX	-	JPL	Karnataka
87	19/10/2016	0.519	MPCL	Himachal Pradesh	IEX	-
88	19/10/2016	0.082	IEX	-	GE	Karnataka
89	19/10/2016	0.053	IEX	-	MTL	Karnataka
90	19/10/2016	0.015	IEX	-	JPL	Karnataka
91	20/10/2016	0.269	ADHPL	Himachal Pradesh	IEX	-
92	20/10/2016	0.504	MPCL	Himachal Pradesh	IEX	-
93	20/10/2016	0.079	IEX	-	GE	Karnataka
94	20/10/2016	0.046	IEX	-	MTL	Karnataka
95	20/10/2016	0.015	IEX	-	JPL	Karnataka
96	21/10/2016	0.298	ADHPL	Himachal Pradesh	IEX	-
97	21/10/2016	0.480	MPCL	Himachal Pradesh	IEX	-
98	21/10/2016	0.086	IEX	-	GE	Karnataka
99	21/10/2016	0.051	IEX	-	MTL	Karnataka
100	21/10/2016	0.009	IEX	-	JPL	Karnataka
101	22/10/2016	0.391	ADHPL	Himachal Pradesh	IEX	-
102	22/10/2016	0.460	MPCL	Himachal Pradesh	IEX	-
103	22/10/2016	0.058	IEX	-	GE	Karnataka
104	22/10/2016	0.042	IEX	-	MTL	Karnataka
105	22/10/2016	0.005	IEX	-	JPL	Karnataka
106	23/10/2016	0.799	ADHPL	Himachal Pradesh	IEX	-
107	23/10/2016	0.370	MPCL	Himachal Pradesh	IEX	-
108	23/10/2016	0.057	IEX	-	GE	Karnataka
109	23/10/2016	0.033	IEX	-	MTL	Karnataka
110	23/10/2016	0.004	IEX	-	JPL	Karnataka
111	24/10/2016	0.776	ADHPL	Himachal Pradesh	IEX	-
112	24/10/2016	0.312	MPCL	Himachal Pradesh	IEX	-
113	24/10/2016	0.059	IEX	-	GE	Karnataka
114	24/10/2016	0.044	IEX	-	MTL	Karnataka
115	24/10/2016	0.009	IEX	-	JPL	Karnataka
116	25/10/2016	0.307	ADHPL	Himachal Pradesh	IEX	-
117	25/10/2016	0.438	MPCL	Himachal Pradesh	IEX	-
118	25/10/2016	0.044	IEX	-	GE	Karnataka
119	25/10/2016	0.029	IEX	-	MTL	Karnataka
120	25/10/2016	0.008	IEX	-	JPL	Karnataka
121	26/10/2016	0.730	ADHPL	Himachal Pradesh	IEX	-
122	26/10/2016	0.422	MPCL	Himachal Pradesh	IEX	-
123	26/10/2016	0.061	IEX	-	GE	Karnataka
124	26/10/2016	0.031	IEX	-	MTL	Karnataka
125	26/10/2016	0.000	IEX	-	JPL	Karnataka
126	27/10/2016	0.730	ADHPL	Himachal Pradesh	IEX	-
127	27/10/2016	0.361	MPCL	Himachal Pradesh	IEX	-
128	27/10/2016	0.079	IEX	-	GE	Karnataka
129	27/10/2016	0.020	IEX	-	MTL	Karnataka
130	27/10/2016	0.000	IEX	-	JPL	Karnataka
131	28/10/2016	0.657	ADHPL	Himachal Pradesh	IEX	-
132	28/10/2016	0.339	MPCL	Himachal Pradesh	IEX	-
133	28/10/2016	0.054	IEX	-	GE	Karnataka
134	28/10/2016	0.018	IEX	-	MTL	Karnataka
135	28/10/2016	0.000	IEX	-	JPL	Karnataka
136	29/10/2016	0.734	ADHPL	Himachal Pradesh	IEX	-
137	29/10/2016	0.329	MPCL	Himachal Pradesh	IEX	-
138	29/10/2016	0.060	IEX	-	GE	Karnataka
139	29/10/2016	0.033	IEX	-	MTL	Karnataka
140	29/10/2016	0.046	IEX	-	JPL	Karnataka
141	30/10/2016	0.655	ADHPL	Himachal Pradesh	IEX	-
142	30/10/2016	0.327	MPCL	Himachal Pradesh	IEX	-
143	30/10/2016	0.060	IEX	-	GE	Karnataka
144	30/10/2016	0.042	IEX	-	MTL	Karnataka
145	30/10/2016	0.000	IEX	-	JPL	Karnataka
146	31/10/2016	0.655	ADHPL	Himachal Pradesh	IEX	-
147	31/10/2016	0.372	MPCL	Himachal Pradesh	IEX	-
148	31/10/2016	0.056	IEX	-	GE	Karnataka
149	31/10/2016	0.000	IEX	-	JPL	Karnataka

S.No.	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)	Margin charged when MCP is lesser than or equal to ₹3/kWh (₹/kWh)	Margin charged when MCP is greater than ₹3/kWh (₹/kWh)
1	MPCL	0.01	0.01	-	-
2	ADHPL	0.01	0.01	-	-
3	MTL	0.009	0.009	-	-
4	GAL	0.010	0.010	-	-
5	JPL	0.010	0.010	-	-

Note : Seller Clients (except IEX) are Generators
Buyer Clients (except IEX) are OA consumers

Form IV G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2016

Sr.No	Name of Power Exchange (IEX/PXIL)	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from		Sold to		Transaction Price (₹/kWh)	Trading Margin (₹/kWh)
		Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	State	Name of Buyer	State		
A	Intra-Day Contracts											
1	IEX	01/10/2016	01/10/2016	18:00:00	19:00:00	0.044	MPCL	Himachal Pradesh	West Bengal State Electricity Distribution	West Bengal	2.499	0.01
2												
3												
B	Day-Ahead Contingency Contracts											
1	NIL											
2												
3												
C	Daily Contracts											
1	NIL											
2												
3												
D	Weekly Contracts											
1	NIL											
2												
3												

note : MPCL stands for "Malana Power Company Limited"

Form IV H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : Statkraft Markets Pvt. Ltd. (formerly SN Power Markets Pvt. Ltd.)

Month: October, 2016

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (₹/REC)	Trading Margin (₹/kWh)
Non Solar RECs						
1	NIL					
2						
3						
4						
Solar RECs						
1	NIL					
2						
3						
4						